



# Replacing PFAS in Waterbased Floor Care Coatings

Tony Moy  
September 26, 2024

*WOOD C&S*



# Agenda

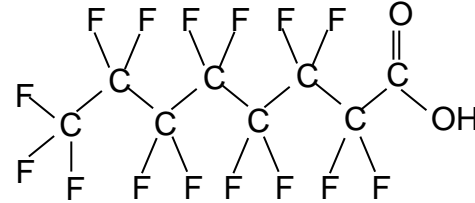
- Concerns around fluorosurfactants (PFAS)
- The challenge of replacing PFAS
- How PFAS are used in floor care coatings
- Methodology for replacing PFAS in floor care coatings
- Results
- Summary
- Conclusion



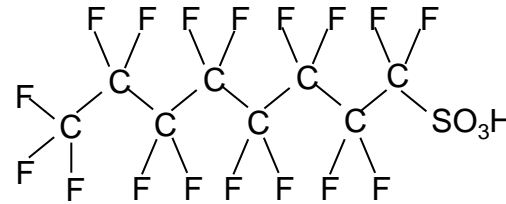
# Concerns around fluorosurfactants

- What do we mean by “fluorosurfactants”
  - ▶ PFAS – Perfluoro Alkyl Substances *and* Polyfluorinated Alkyl Substances

- PFOA – Perfluorinated Octanoic Acid



- PFOS – Perfluorooctane Sulfonate



- Fluorosurfactants are becoming increasingly scrutinized from a regulatory perspective
  - ▶ Persistency: exceedingly long time duration for decay
  - ▶ Potential health effects
    - EPA has issued health advisory for PFAS (4parts per trillion)





# The challenge of replacing PFAS

Anti Bernard Cells

Oil Resistance

**Surface Tension Reduction**

Chemical Resistance

*Flow*

**Substrate Wetting**

**Not Foam Stabilizing**

**Dirt Pickup and Resistance**

**Anti Block**

Non-Slip

**Anti Fish Eye**

**No Intercoat Adhesion Issues**

**Leveling**

*Pigment Wetting*

**Anti Crater**

# The challenge of replacing PFAS

## Surfactant Performance Comparison

| Properties                                 | Polysilioxane    | Polyacrylate | Fluorocarbon-Modified Polyacrylate |
|--|------------------|--------------|------------------------------------|
| Slip                                       | Very high        | None         | None                               |
| Leveling                                   | Excellent        | Good         | Good                               |
| Flow                                       | Good             | Excellent    | Excellent                          |
| Surface tension reduction                  | Excellent        | Moderate     | Excellent                          |
| Anti Crater                                | Excellent        | Little       | Excellent                          |
| Anti fish eye                              | Good             | Average      | Excellent                          |
| Substrate wetting                          | Good             | Average      | Excellent                          |
| Anti Bernard Cells                         | Excellent        | None         | Good                               |
| Possibility for Intercoat Adhesion problem | Yes              | No           | No                                 |
| Foam stabilization                         | High possibility | No           | Low                                |

- Fluorosurfactants perform well in a multitude of areas!!
- No other class of chemicals has the same breadth of performance.
- Replacing a Fluorosurfactant can be very challenging!

**PFAS Replacement Strategy:  
Target performance aspect it  
provides to a formulation!**

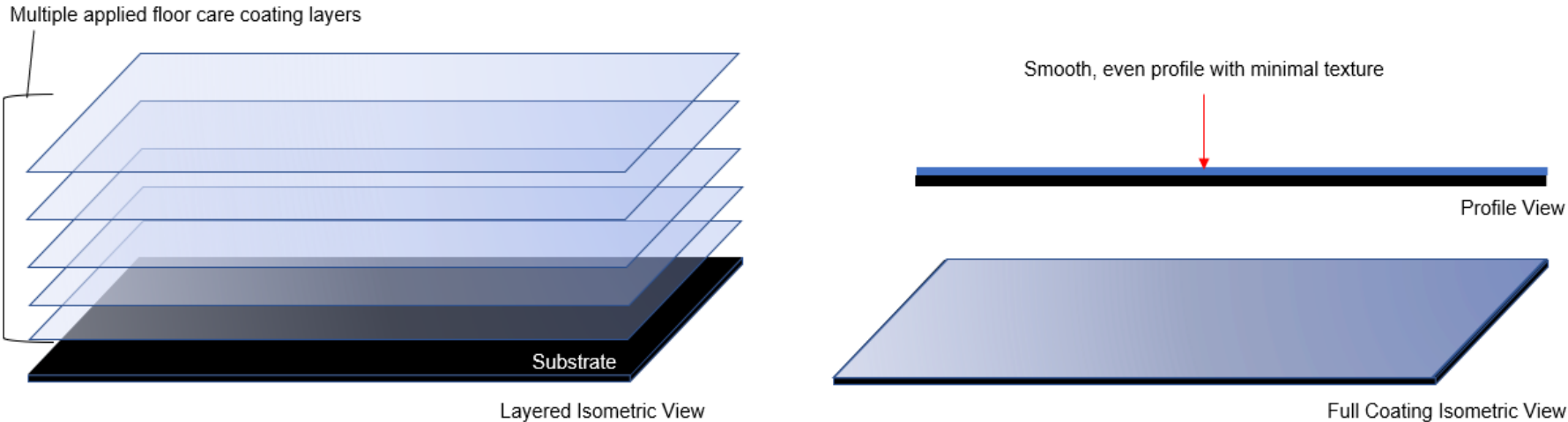
# How PFAS are used in floor care coatings

## Background & History

- Floor care coatings
  - ▶ Very low solids, low viscosity, temporary waterbased coatings
  - ▶ Used to coat VCT in institutional settings (hospitals, schools, commercial arenas, etc.)
  - ▶ Provide good appearance feature
  - ▶ Relatively easy maintenance: periodic strip and recoat to refresh surface
- Fluorosurfactants (PFAS) used exclusively
  - ▶ Used to address **flow and leveling issues** – enable good appearance **Key Performance Feature**
    - High efficiency – low dosing levels
    - Provide excellent flow and leveling performance
    - No slip component (safety)
  - ▶ Other surfactants were not considered due to performance and efficiency of fluorosurfactants

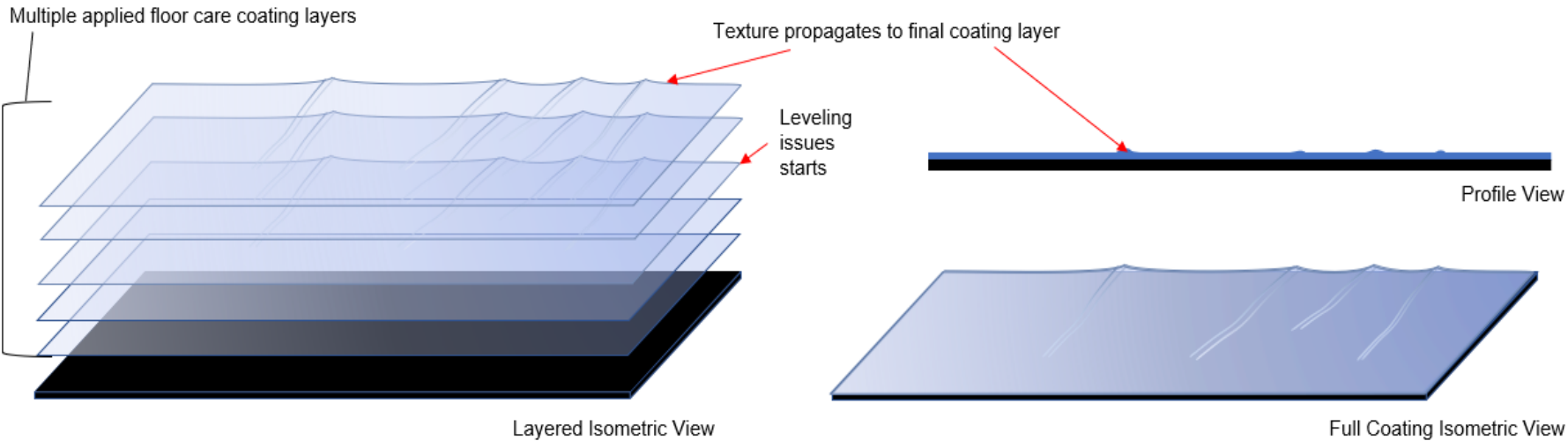
# Floor Care Coating Application

## Ideal



Good Flow and Leveling

## Reality



Poor Flow and Leveling

Fluorosurfactants (PFAS) are used to mitigate/minimize leveling issues



# Methodology for replacing PFAS in floor care coatings

- Select a variety of non PFAS surfactants for testing
- Test surfactant candidates in Standard WB Floor Care Rx
  - ▶ Dynamic Surface Tension Screen
- Test best performers on VCT tile application
  - ▶ Visual assessment
  - ▶ Gloss measurement
  - ▶ Select floor care tests
- Conduct additional rounds of optimization
  - ▶ Surfactant concentration
  - ▶ Explore
    - Additional application method
    - Additional substrate

| Standard WB Floor Care Rx                                   |              |                                    |
|---|--------------|------------------------------------|
| Materials   | % Wt.        | Function                           |
| Water   | 35.23        | Carrier liquid                     |
| Fluorosurfactant<br>(1% active solution)                    | 1.07         | Surfactant for flow and leveling   |
| Diethylene Glycol Ethyl Ether (DE)                          | 6.47         | Coalescent and evaporation control |
| <b>2,2,4-Trimethyl-1,3-Pentenediol Diisobutyrate (TXIB)</b> | 1.65         | Plasticizer                        |
| Tributoxy Ethyl Phosphate (TBEP)                            | 2.80         | Plasticizer                        |
| <b>Resin</b>  | <b>46.59</b> | Polymer (Primary film properties)  |
| Wax   | 6.18         | Adjust COF                         |
| Defoamer  | 0.01         | Eliminate/minimize bubbles/defects |
| <b>Totals</b>   | 100.00       |                                    |

|                 |                             |
|-----------------|-----------------------------|
| Solids          | ~25% by Wt.                 |
| Density         | 8.57 lb/US Gal (calculated) |
| Polymer/ASR/Wax | 93/0/7                      |

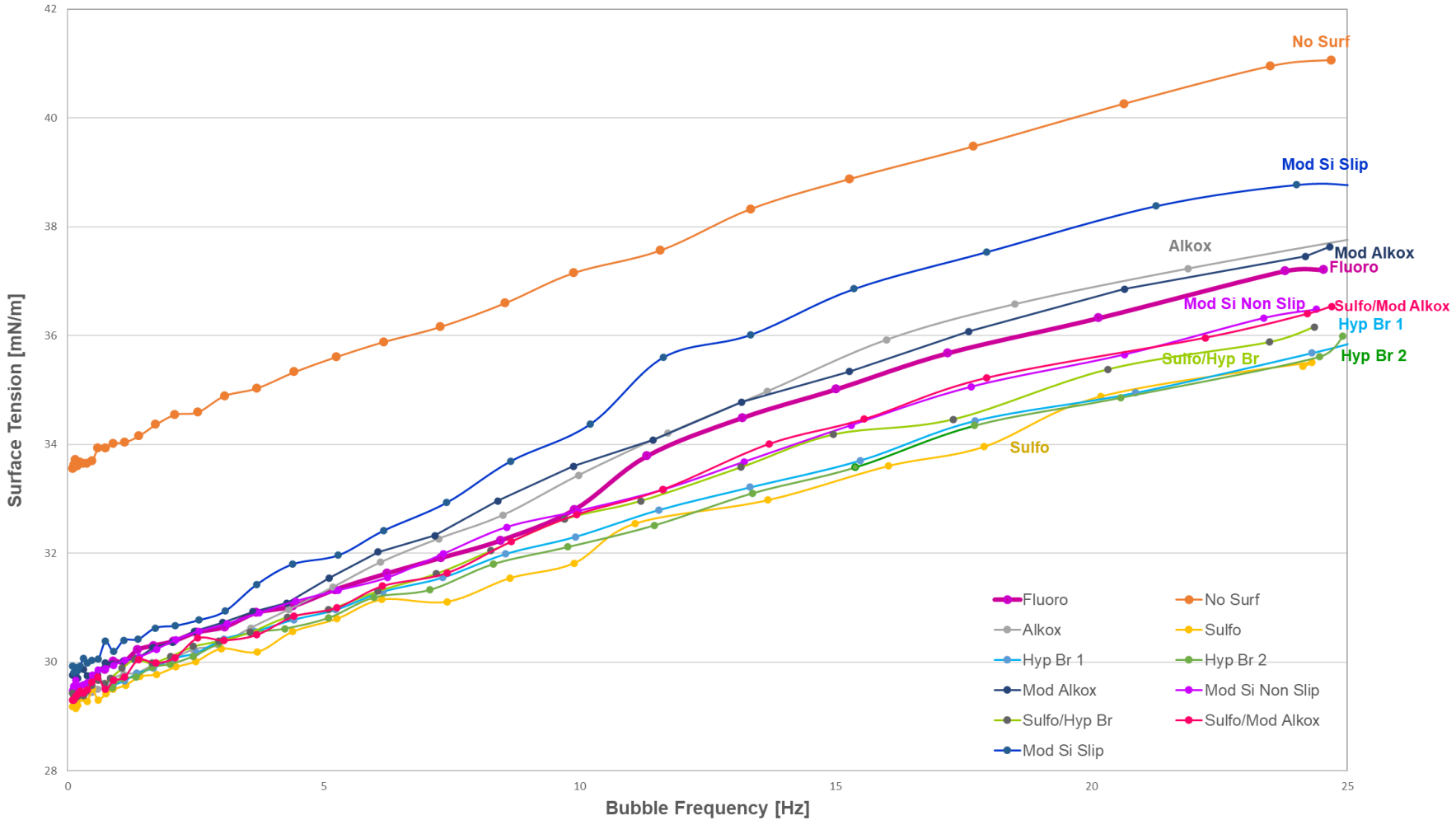


# Alternative non PFAS surfactant technologies examined

| Chemistry                                    | General Structure         | Value  |
|--|---------------------------|--|
| Alkoxylate                                   |                           | Low foaming; excellent dynamic surface tension reduction                   |
| Modified Alkoxylate                          | Proprietary Composition   | Anti-foam, wetting and leveling agent                                      |
| Hyperbranched Polymer 1                      |                           | Anti-foam, wetting and leveling agent; higher hydrophobic content          |
| Hyperbranched Polymer 2                      |                           | Anti-foam, wetting and leveling agent                                      |
| Modified Silicone 1 (no slip)                | Proprietary Composition   | Anti-foam, wetting agent; excellent compatibility; no slip                 |
| Modified Silicone 2 (slip)                   |                           | Excellent wetting, flow, leveling with low surface energy (slip, anti-mar) |
| Gemini Surfactant                            |                           | Anti-foam, wetting and leveling agent                                      |
| Sulfosuccinate                               |                           | High efficiency wetting agent; excellent dynamic surface tension reduction |
| Sulfosuccinate / Hyperbranched Polymer Blend |                           | Excellent dynamic surface tension reduction with anti-foam                 |
| Sulfosuccinate / Mod. Alkoxylate* Blend      | ♦ Proprietary Composition | Excellent dynamic surface tension reduction with anti-foam                 |

# Results: Dynamic Surface Tension Comparisons

Surface Tension Results **0.01%** Active



| Surfactant type                      | Dosing level to meet surface tension criteria |
|--------------------------------------|---|
| Alkoxylate                           | High  |
| Modified Alkoxylate                  | Mid, High                                     |
| Hyperbranched Polymer 1              | Low   |
| Hyperbranched Polymer 2              | Low   |
| <b>Modified Silicone 1 (no slip)</b> | <b>Low, Mid, High</b>                         |
| Modified Silicone 2 (slip)           | Mid   |
| <b>Sulfosuccinate</b>                | <b>Low, Mid, High</b>                         |
| Sulfosuccinate / Hyperbranched       | Low, High                                     |
| <b>Sulfosuccinate / Mod.</b>         | <b>Low, Mid, High</b>                         |

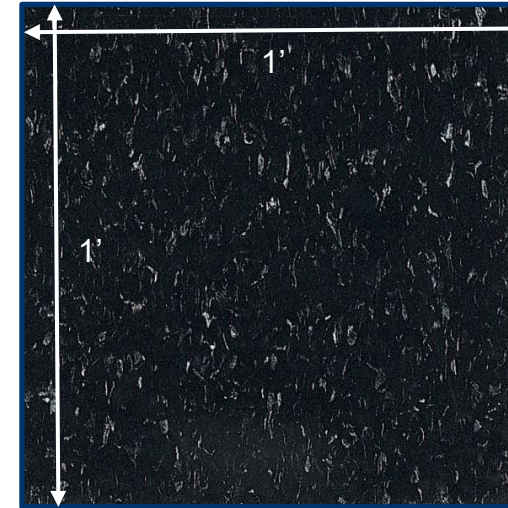
**Objective: Surfactants which can provide similar or better surface tension suppression vs fluorosurfactant control**



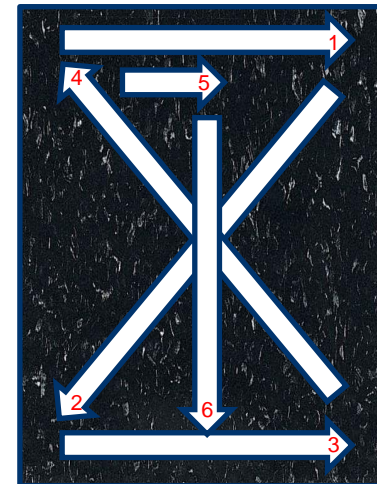
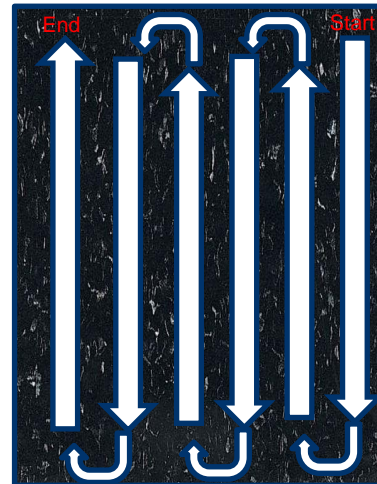
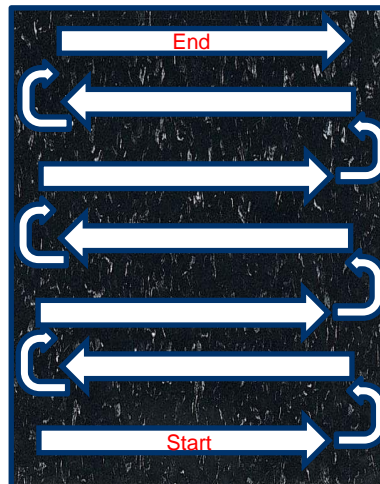
# Floor Care Coating Application

## Testing for Flow and Leveling Assessment / Comparison

- Cheese cloth method for applying floor care coating formulations:
  - ▶ Cheese cloth:
    - 100% pure cotton, reagent grade
      - Certified: USP and Federal Specification CCC-G-101c
      - Mesh size 10: 20 x 12 Vertical x horizontal threads per inch
    - 2" x 2" swatch folded over twice
    - Saturated with coating solution
      - For ½ of a 1' x 1' VCT tile, use 3 ml of solution
  - ▶ Application methodology:
    - Apply with saturated cheese cloth on center of tile area
    - Follow given application pattern



VCT:  
Armstrong® Standard Excelon®  
Imperial Texture 51910021  
C132B



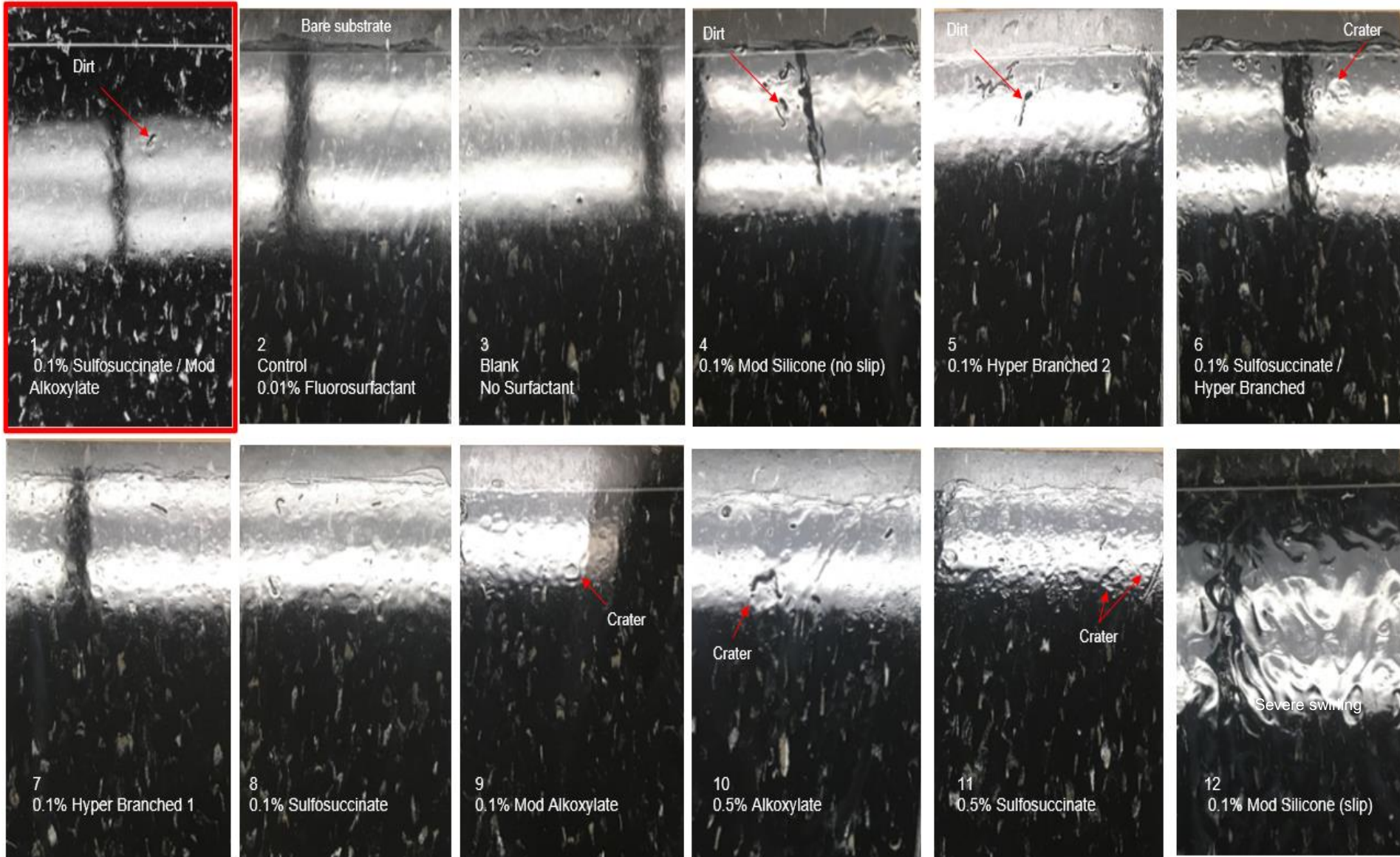
Note: application on ½ of VCT tile



# Results: VCT Tile Application @ 5 coats with Cheesecloth

## Initial Tests

VCT: Armstrong®  
Standard Excelon®  
Imperial Texture  
51910021 C132B





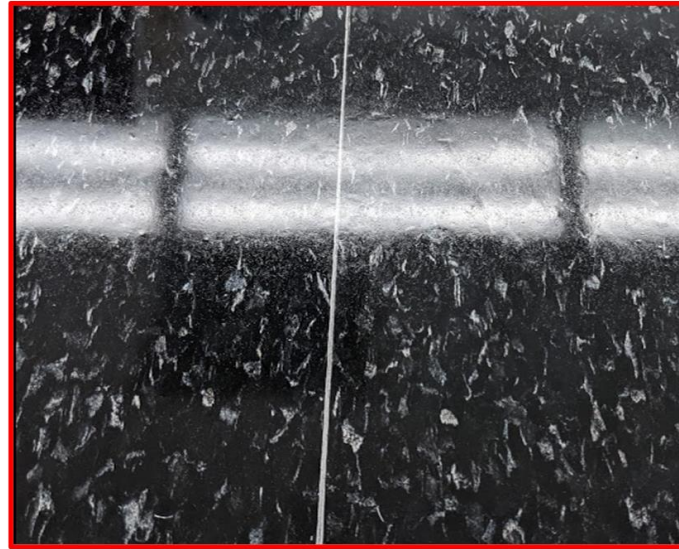
# Results: VCT Tile Application @ 5 coats with Cheese Cloth

Best Candidates after optimization

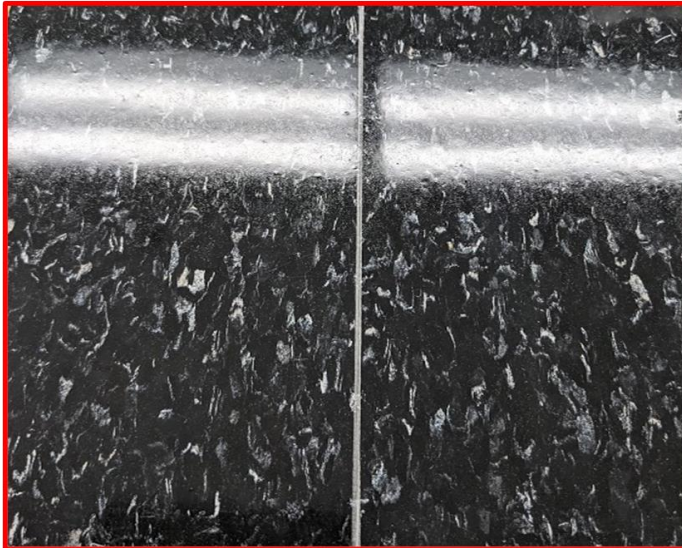
VCT: Armstrong®  
Standard Excelon®  
Imperial Texture  
51910021 C132B



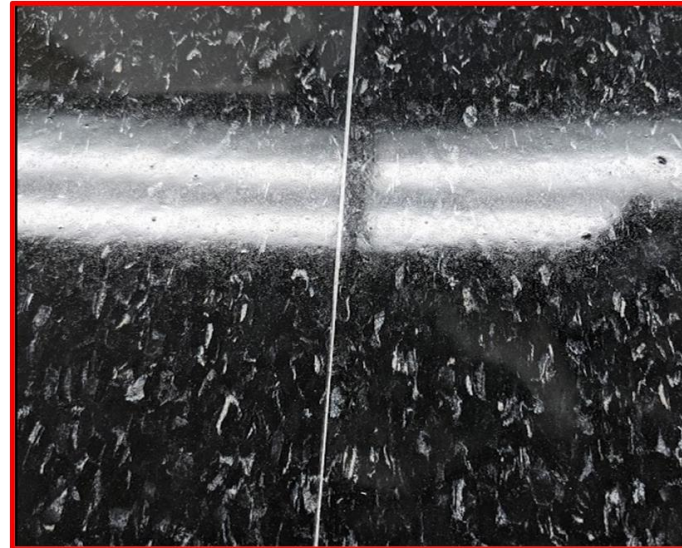
0.01% Fluorosurfactant Control      0.05% Hyper Branched 2



0.01% Fluorosurfactant Control      0.15% Gemini surfactant



0.01% Fluorosurfactant Control      0.05% Sulfosuccinate



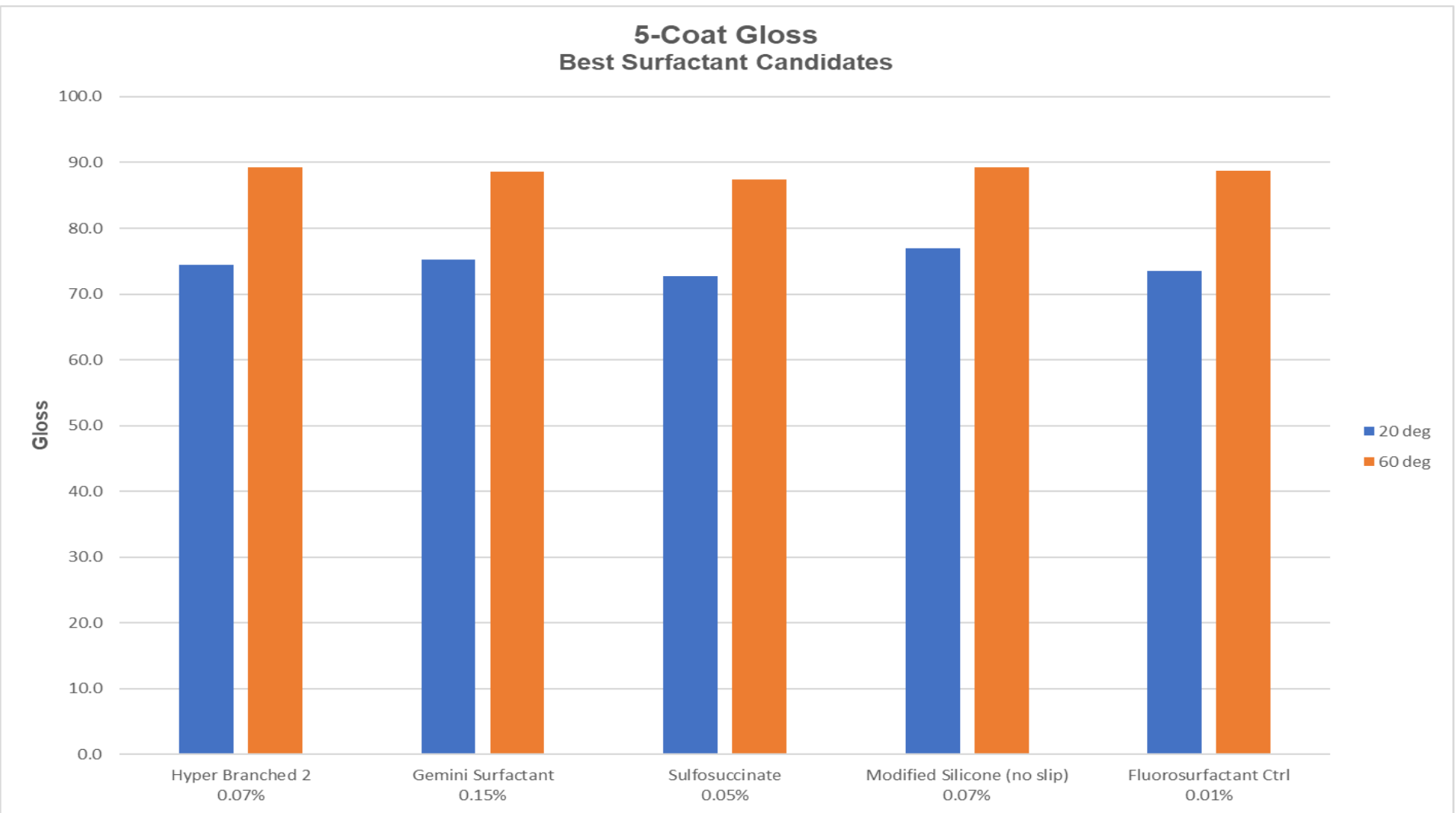
0.01% Fluorosurfactant Control      0.07% Modified Silicone (no slip)

| Name                                      | # coat | 20 deg |     | 60 deg |     |
|---|--------|--------|-----|--------|-----|
|   |        | avg    | std | avg    | std |
| Control<br>Fluorosurfactant<br>(0.01%)    | 1      | 15.4   | 1.2 | 51.1   | 1.6 |
|   | 2      | 43.4   | 3.8 | 76.4   | 1.1 |
|   | 3      | 61.9   | 2.8 | 85.2   | 1.0 |
|   | 4      | 69.6   | 2.5 | 87.0   | 0.3 |
|   | 5      | 73.5   | 2.9 | 88.8   | 0.7 |
| Modified Silicone<br>(no Slip)<br>(0.07%) | 1      | 15.5   | 1.1 | 49.6   | 0.9 |
|   | 2      | 42.9   | 2.3 | 76.6   | 1.3 |
|   | 3      | 60.4   | 5.0 | 85.4   | 0.7 |
|   | 4      | 72.9   | 1.0 | 88.3   | 0.5 |
|   | 5      | 76.9   | 2.3 | 89.3   | 0.7 |
| Gemini Surfactant<br>(0.15%)              | 1      | 27.3   | 3.3 | 64.7   | 3.3 |
|   | 2      | 50.2   | 4.0 | 81.1   | 1.7 |
|   | 3      | 60.4   | 7.9 | 86.1   | 0.6 |
|   | 4      | 74.4   | 0.7 | 88.1   | 0.4 |
|   | 5      | 75.2   | 0.4 | 88.6   | 0.5 |
| Sulfosuccinate<br>(0.05%)                 | 1      | 17.7   | 1.2 | 52.1   | 1.4 |
|   | 2      | 38.4   | 3.1 | 75.2   | 1.3 |
|   | 3      | 63.5   | 6.2 | 85.2   | 1.3 |
|   | 4      | 62.5   | 1.4 | 83.7   | 0.6 |
|   | 5      | 74.7   | 3.1 | 88.6   | 1.0 |
| Hyperbranched 2<br>(0.05%)                | 1      | 15.0   | 1.2 | 48.2   | 2.1 |
|   | 2      | 41.8   | 2.7 | 76.9   | 1.7 |
|   | 3      | 65.7   | 4.6 | 86.1   | 0.7 |
|   | 4      | 64.2   | 3.1 | 81.7   | 2.2 |
|   | 5      | 69.7   | 5.6 | 85.7   | 1.3 |





# Results: Gloss Performance of Best Candidates



VCT: Armstrong®  
Standard Excelon®  
Imperial Texture  
51910021 C132B

Hydrolapat WE 3322      Hydrolapat WE 3229      Hydrolapat WE 3475      Hydrolapat WE 3225      Capstone FS-60

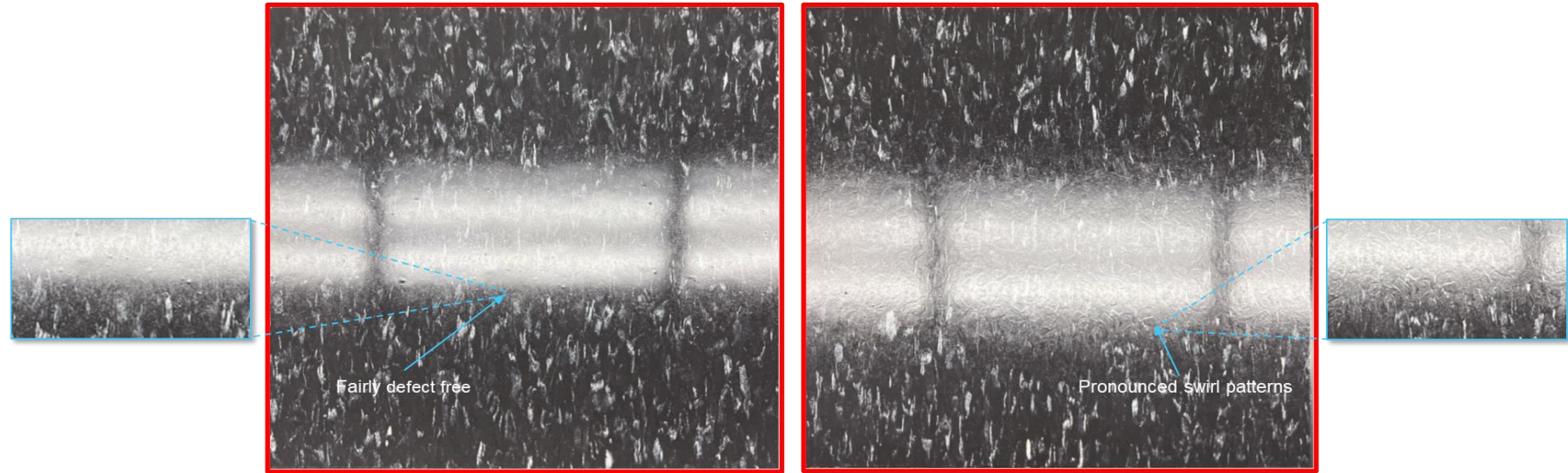
**Best candidates provided similar gloss to fluorosurfactant control**



# Results: VCT Tile Application @ 5 coats with Fiber Mop

Best Candidates after optimization

VCT: Armstrong®  
Standard Excelon®  
Imperial Texture  
51910021 C132B

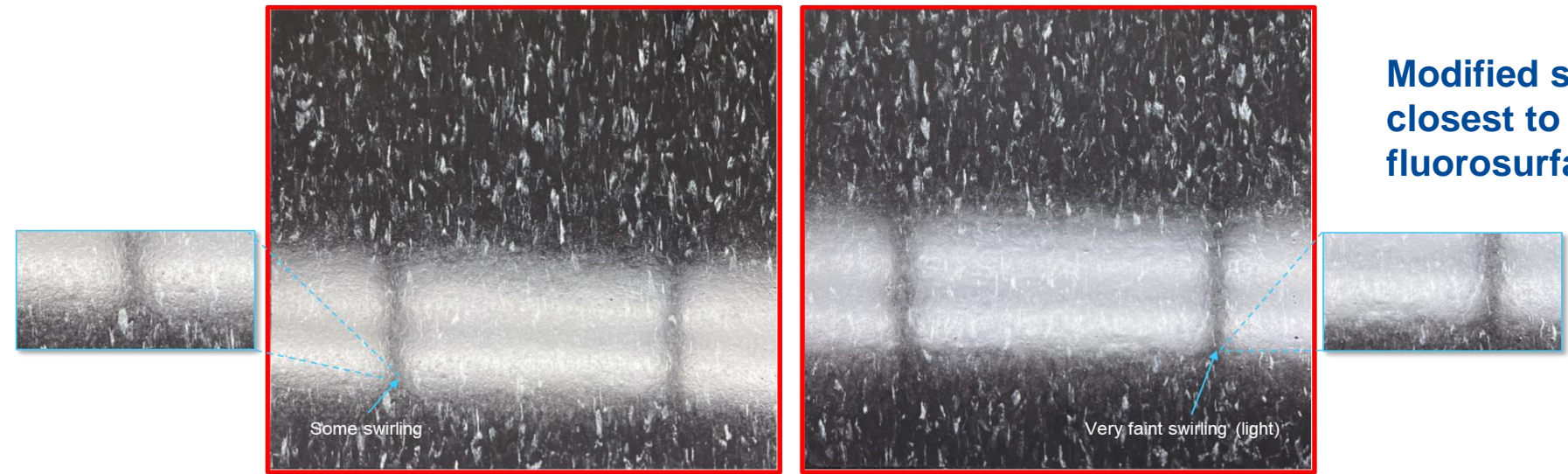


Fairly defect free

Pronounced swirl patterns

0.01% Fluorosurfactant Control

0.1% Sulfosuccinate / Modified Alkoxylate



Some swirling

Very faint swirling (light)

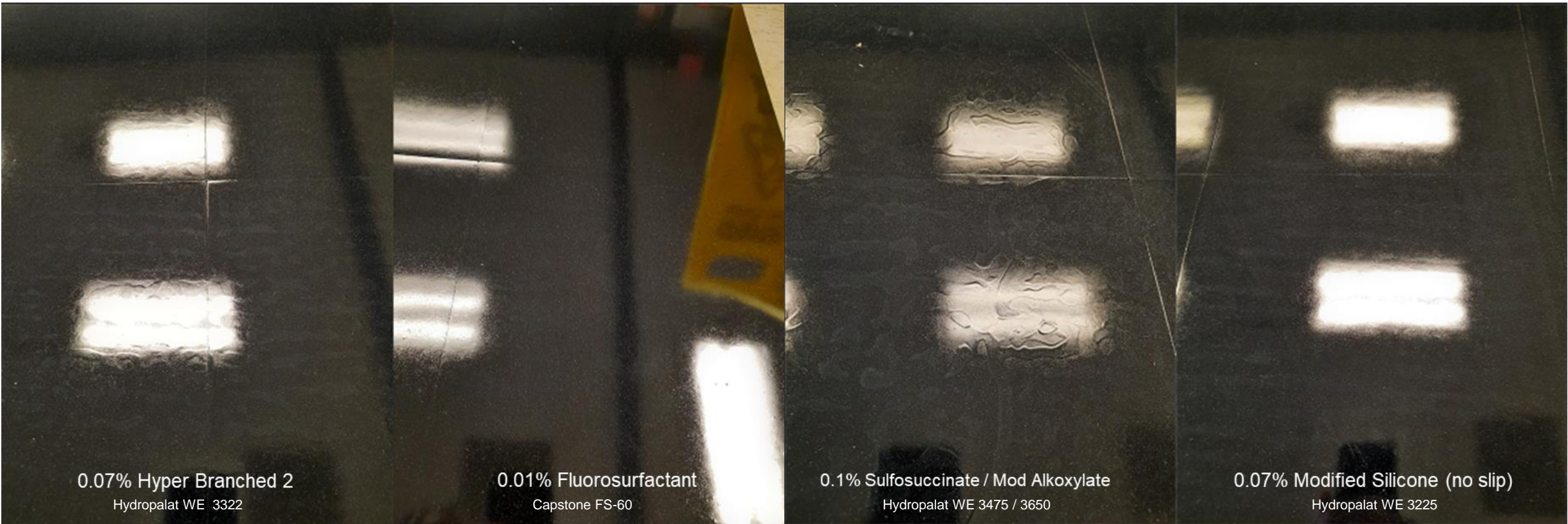
0.07% Hyper Branched 2

0.07% Modified Silicone (no slip)

**Modified silicone came  
closest to matching  
fluorosurfactant**



# Results: VCT Tile Test Floor Application @ 5 coats with Fiber Mop Best Candidates after optimization



VCT: Armstrong Premium Excelon® 56790 Black

**Performance did not match that of other VCT tile type – Differences in surface treatments on tiles**  
**Modified silicone came closest to matching fluorosurfactant – some faint lap lines**



# Summary

- A variety of surfactant chemistries tested in a floor care coating application vs fluorosurfactant control
- Best surface tension reduction and stability over a range of concentrations
  - ▶ Sulfosuccinates
  - ▶ Modified Silicone (no slip)
  - ▶ Sulfosuccinate / Modified Alkoxylate
- Best candidates for flow and leveling performance after optimization around concentration
  - ▶ Modified Silicone (no slip)
  - ▶ Gemini Surfactant\*
- Key Learnings
  - ▶ Flow and leveling issues tend to occur at some intermediate layer during application
  - ▶ VCT tile substate is a factor on performance
  - ▶ Application methodology is a factor on performance





# Conclusion

- Use of non-fluorinated surfactants in floor care coatings shows promising results
  - ▶ Flow and leveling performance approaching that provided by fluorosurfactants at up to 5 coats
  - ▶ Proper selection for surface tension reduction and concentration optimization is important
- Future Steps: Additional work to further narrow performance gap (7+ coats)  
Areas of focus:
  - ▶ Understanding of controlling mechanism for flow and leveling
  - ▶ Formulary approaches to improving flow and leveling performance
  - ▶ Development / use of other objective measurements for flow and leveling



# Contacts

**Website:** [basf.us/dpsolutions](https://basf.us/dpsolutions)

**Email:** [formulation-additives-nafta@basf.com](mailto:formulation-additives-nafta@basf.com)



**Tony Moy**

Sr. Technical Specialist  
Formulation Additives  
[anthony.moy@basf.com](mailto:anthony.moy@basf.com)



**Bob Ratcliff**

Strategic Account Manager  
Flooring, Floorcare and Wood Resins  
[robert.ratcliff@basf.com](mailto:robert.ratcliff@basf.com)

# Disclaimer

While the descriptions, designs, data and information contained herein are presented in good faith and believed to be accurate, they are provided for guidance only. Because many factors may affect processing or application/use, BASF recommends that the reader make tests to determine the suitability of a product for a particular purpose prior to use. **NO WARRANTIES OF ANY KIND, EITHER EXPRESSED OR IMPLIED, INCLUDING, BUT NOT LIMITED TO, WARRANTIES OF MERCHANTABILITY OR FITNESS FOR A PARTICULAR PURPOSE, ARE MADE REGARDING PRODUCTS DESCRIBED OR DESIGNS, DATA OR INFORMATION SET FORTH, OR THAT THE PRODUCTS, DESCRIPTIONS, DESIGNS, DATA OR INFORMATION MAY BE USED WITHOUT INFRINGING THE INTELLECTUAL PROPERTY RIGHTS OF OTHERS.** In no case shall the descriptions, information, data or designs provided be considered a part of BASF's terms and conditions of sale. Further, the descriptions, designs, data, and information furnished by BASF hereunder are given gratis and BASF assumes no obligation or liability for the descriptions, designs, data or information given or results obtained all such being given and accepted at the reader's risk.

® = *registered trademarks of BASF Group.*

© BASF Corporation, 2024



We create chemistry